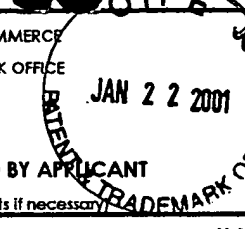


FORM PTO-1449		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			Atty. Docket No. 9500030.APP		Serial No. 09/709,185	
				Applicant Andrew H. Cragg, MD, et al.				
LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small>				Filing Date November 10, 2000		Group		

U.S. PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
ofo	AA	3,892,232	07/01/75	Neufeld	128	92EB	
	AB	4,170,990	10/16/79	Baumgart et al.	128	92B	
	AC	4,265,231	05/05/81	Scheller, Jr. et al.	128	92E	
	AD	4,401,112	04/30/98	Rezaian	128	92B	
	AE	4,453,539	06/12/84	Raftopoulos et al.	128	92BC	
AF	4,541,423	09/17/85	Barber	128	92E		
AG	4,553,273	11/19/85	Wu	623	18		
AH	4,636,217	01/13/87	Ogilvie et al.	623	17		
AI	4,756,649	07/12/88	Heule	408	178		
AJ	5,002,546	03/26/91	Romano	606	80		
ofo	AK	5,015,255	05/14/91	Kuslich	623	017	

FOREIGN PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	...TRANSLATION... YES   NO	
	AL	WO 99/47055	09/23/99	PCT	A61B	17/32	
	AM						
	AN						
	AO						
	AP						

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)		
ofo	AR	J. J. Trambert, MD, "Percutaneous Interventions in the Presacral Space: CT-guided Precoccygeal Approach--Early Experience", <u>Radiology</u> 1999; 213:901-904
ofo	AS	R. Johnsson et al., "Posterolateral lumbar fusion using facet joint fixation with biodegradable rods: a pilot study", <u>Eur Spine J.</u> , (1997) 6:144-148
ofo	AT	R. P. Louis, MD, "Anatomy, Physiology, and Biomechanics of the Lumbopelvic Junction", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 1 (pp. 1-11) Lippincott-Raven Pub. (1996)

EXAMINER <i>ofo</i> <i>James Davis</i>	DATE CONSIDERED 4/10/03
---	----------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

JAN 22 2001

Atty. Docket No.  
9500030.APPSerial No.  
09/709,185

Applicant

Andrew H. Cragg, MD et al.

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date

November 10, 2000

Group

## U.S. PATENT DOCUMENTS

Examiner Initial*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
dyd	BA	5,030,201	07/09/91	Palestrant	604	22	
	BB	5,059,193	10/22/91	Kuslich	606	61	
	BC	5,190,546	03/12/93	Jervis	606	078	
	BD	5,290,289	03/01/94	Sanders et al.	606	61	
	BE	5,336,223	08/09/94	Rogers	606	61	
	BF	5,395,188	03/07/95	Bailey et al.	408	127	
	BG	5,396,880	03/14/95	Kagan et al.	128	6	
	BH	5,415,661	05/16/95	Holmes	606	69	
	BI	5,505,732	04/09/96	Michelson	606	61	
	BJ	5,514,137	05/07/96	Coutts	606	62	
dyd	BK	5,514,180	05/07/96	Heggeness et al.	623	17	

## FOREIGN PATENT DOCUMENTS

Examiner Initial*		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	...TRANSLATION... YES NO
	BL						
	BM						
	BN						
	BO						
	BP						

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)

dyd	BR	M. R. Zindrick, MD et al., "Clinical Anatomy of the Lumbosacral Junction and Pelvis" <u>Lumbosacral and Spinopelvic Fusion</u> , Chapt. 2 (pp. 13-25) Lippincott-Raven Pub. (1996)
dyd	BS	J. W. Olgilvie, MD et al., "Overview of Fixation to the Sacrum & Pelvis in Spinal Surgery", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 17 (pp. 191-8) Lippincott-Raven Pub. (1996)
dyd	BT	S. A. Caruso, ME et al., "Instrumented Fusions of the Lumbosacral Spine: A Technical Overview", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 18 (pp. 199-210) Lippincott-Raven Pub. (1996)

EXAMINER

D. Jacob Davis

DATE CONSIDERED

4/10/03

FORM PTO-1449		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			Atty. Docket No. 9500030.APP		Serial No. 09/709,185	
<div style="position: relative; width: 100px; height: 100px; margin: 0 auto;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100px; font-size: 24px; font-weight: bold;">             COPIE JAN 22 2001 PATENT AND TRADEMARK OFFICE           </div> </div>				Applicant Andrew H. Cragg, MD et al.				
				Filing Date November 10, 2000				Group
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)								
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initial*	CA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<div style="font-size: 2em; font-family: cursive;">             [Signature]           </div>	CA	5,571,189	11/05/96	Kuslich	623	17		
	CB	5,700,291	12/23/97	Kuslich et al.	623	17		
	CC	5,702,455	12/30/97	Saggar	623	17		
	CD	5,713,904	02/03/98	Errico et al.	606	73		
	CE	5,735,899	04/07/98	Schwartz et al.	623	17		
	CF	5,827,328	10/27/98	Butterman	623	17		
	CG	5,888,223	03/30/99	Bray, Jr.	623	17		
	CH	5,976,146	11/02/99	Ogawa et al.	606	86		
	CI	5,976,187	11/02/99	Richelsoph	623	17		
	CJ	5,989,256	11/23/99	Kuslich et al.	606	74		
	CK	6,056,749	05/02/00	Kuslich	606	61	03/15/99	
	CL	6,086,589	07/11/00	Kuslich et al.	606	61	02/02/99	
	<div style="font-size: 2em; font-family: cursive;">             [Signature]           </div>	CM	6,093,207	07/25/00	Pisharodi	623	017	03/18/94
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initial*	CN	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	CN							
	CO							
	CP							
<b>OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<div style="font-size: 2em; font-family: cursive;">             [Signature]           </div>	CR	R. P. Louis, MD, "Lumbopelvic Fusion", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 38 (pp. 479-492) Lippincott-Raven Pub. (1996)						
	CS	J. Dove, FRCS, "The Hartshill System for the Front of the Lumbosacral Spine", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 42 (pp. 539-543) Lippincott-Raven Pub. (1996)						
	CT	P. Kambin, MD et al., "Arthroscopic Fusion of the Lumbosacral Spine", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 44 (pp. 565-577) Lippincott-Raven Pub. (1996)						
EXAMINER <div style="font-size: 1.5em; font-family: cursive;">W Jacob Davis</div>				DATE CONSIDERED 4/10/03				

#3

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Andrew H. Cragg, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE November 10, 2000	GROUP 3731

OTPE  
DEC 26 2001  
PATENT & TRADEMARK OFFICE 1717

TECHNOLOGY CENTER PC  
L.C. 28 2001

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
sjs	Re. 33,258	07/10/1990	Onik, et al.			
	1,630,239	05/09/1924	Binkley, et al.			
	3,554,192	07/23/1968	Isbemer			
	3,367,326	06/05/1965	Frazier			
	4,135,506	01/23/1979	Ulrich			
	4,573,448	03/04/1986	Kambin			
	4,966,604	10/30/1990	Reiss			
	4,969,888	11/13/1990	Scholten, et al.			
	5,071,437	12/10/1991	Steffee			
	5,192,326	03/09/1993	Bao, et al.			
	5,195,968	03/23/1993	Lundquist, et al.			
	5,242,461	09/07/1993	Kortenbach, et al.			
	5,258,031	11/02/1993	Salib, et al.			
	5,269,785	12/14/1993	Bonutti			
	5,285,795	02/15/1994	Ryan, et al.			
	5,313,962	05/24/1994	Obenchain			
	5,383,884	01/24/1995	Summers			
	5,549,679	08/27/1996	Kuslich			
	5,554,163	09/10/1996	Shturman			
	5,562,736	10/08/1996	Ray, et al.			
	5,702,454	12/30/1997	Baumgartner			
	5,762,629	06/09/1998	Kambin			
	5,888,220	03/30/1999	Felt, et al.			
	5,968,062	10/19/1999	Thomas, et al.			
	5,972,015	10/26/1999	Scribner, et al.			
	6,019,792	02/01/2000	Cauthen			
sjs	6,022,362	02/08/2000	Lee, et al.			

EXAMINER

D Jacob Davis

DATE CONSIDERED

4/9/03

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Andrew H. Cragg, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE November 10, 2000	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>sjd</i>	6,066,152	05/23/2000	Strauss, et al.			
	6,066,154	05/23/2000	Reiley, et al.			
	6,080,099	06/27/2000	Slater, et al.			
	6,095,149	08/01/2000	Sharkey, et al.			
	6,120,502	09/19/2000	Michelson			
	6,123,705	09/26/2000	Michelson			
<i>sjd</i>	6,127,597	10/03/2000	Beyar, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

H:\DOCS\JLP\JLP-1028.DOC:cx  
120501

EXAMINER <i>D Jacob Davis</i>	DATE CONSIDERED <i>4/9/03</i>
*EXAMINER: INITIAL & CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD 004A	APPLICATION NO. 09/709,105
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANTS Andrew H. Cragg, MD, et al.	
		FILING DATE November 10, 2000	GROUP 3731

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>yo</i>	4,862,891	09/05/1989	Smith			
<i>yo</i>	5,403,276	04/04/1995	Schechter, et al.			
<i>yo</i>	5,433,739	07/18/1995	Sluijter, et al.			
<i>yo</i>	5,980,504	11/09/1999	Sharkey, et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
<i>—</i>	B. Jeanneret, et al., "Posterior Stabilization in L5-S1 Isthmic Spondylolisthesis with Paralaminar Screw Fixation: Anatomical and Clinical Results," <u>Journal of Spinal Disorders</u> , Vol. 9, No. 3, pp. 223-233 (1996) Lippincott-Raven Publishers, Philadelphia.

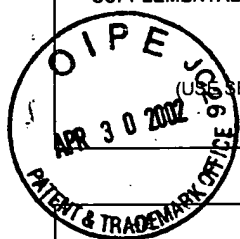
H:\DOCS\ULP\LP-1052.DOC:cxh  
010702

EXAMINER <i>Jacob Davis</i>	DATE CONSIDERED <i>03/10/03</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.004AAPPLICATION NO.  
09/709,105SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANTS  
Cragg, et al.FILING DATE  
November 10, 2000GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>dyd</i>	1	5,062,850	11/05/91	MacMillan, et al.			
	2	5,242,444	09/07/93	MacMillan			
	3	5,366,457	11/22/94	McGuire, et al.			
	4	5,653,708	08/05/97	Howland			
	5	5,741,261	04/21/98	Moskovitz, et al.			
	6	5,785,709	07/28/98	Kummer, et al.			
	7	5,792,044	08/11/98	Foley, et al.			
	8	5,885,292	03/23/99	Moskovitz, et al.			
	9	5,891,147	04/06/99	Moskovitz, et al.			
	10	5,902,231	05/11/99	Foley, et al.			
	11	5,954,635	09/21/99	Foley, et al.			
	12	6,007,487	12/28/99	Foley, et al.			
	13	6,152,871	11/28/00	Foley, et al.			
	14	6,162,170	12/19/00	Foley, et al.			
	15	6,176,823 B1	01/23/01	Foley, et al.			
	16	6,206,822 B1	03/27/01	Foley, et al.			
	17	6,217,509 B1	04/17/01	Foley, et al.			
<i>dyd</i>	18	US 2002/0032444 A1	03/14/02	Mische			

RECEIVED  
MAY - 2 2002  
TECHNOLOGY CENTER R3700

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>dyd</i>	19	WO 02/09801 A1	02/07/02	PCT				

EXAMINER

*D Jacob Davis*

DATE CONSIDERED

*4/9/03*

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
	APPLICANTS Cragg, et al.	
	FILING DATE November 10, 2000	GROUP 3731

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
SJD	20 ✓ Jason A. Smith, MD, et al., "Clinical Outcome of Trans-Sacral Interbody Fusion After Partial Reduction for High-Grade L5-S1 Spondylolisthesis," <u>Spine</u> , 2001, Vol. 26, No. 20, pp. 2227-2234.
	21 ✓ Michael MacMilland, MD, et al., "Percutaneous Lumbosacral Fixation and Fusion," <u>Percutaneous Spine Techniques</u> , January 1996, Vol. 7, No. 1, pp. 99-106.
	22 ✓ Curtis A. Dickman, M.D., et al., "Transpedicular screw-rod fixation of the lumbar spine: operative technique and outcome in 104 cases," <u>J. Neurosurg.</u> , December, 1992, Vol. 77, pp. 860-870.
	23 ✓ Richard M. Slone, MD, et al., "Spinal Fixation, Part 1, Basic Hardware, and Fixation Techniques for the Cervical Spine," <u>RadioGraphics</u> , 1993, Vol. 13, No. 2, pp. 341-356.
	24 ✓ Richard M. Slone, MD, et al., "Spinal Fixation, Part 2, Fixation Techniques and Hardware for the Thoracic and Lumbosacral Spine," <u>RadioGraphics</u> , 1993, Vol. 13, No. 3, pp. 521-543.
SJD	25 ✓ Michael MacMillan, et al., "Biomechanical Analysis of a New Anterior Spine Implant for Post-Corpectomy Instability," <u>Journal of Spinal Disorders</u> , 1995, Vol. 8, No. 1, pp. 56-61.

H:\DOCS\JLP\JLP-1189.DOC:sam  
042602

EXAMINER <i>S. Jacob Davis</i>	DATE CONSIDERED <i>4/9/03</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

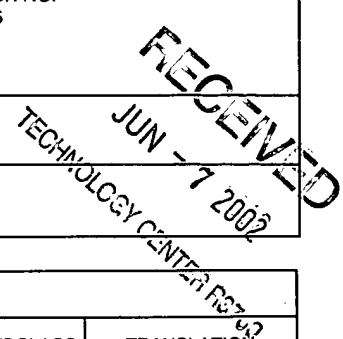


FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Andrew H. Cragg, MD, et al.	
		FILING DATE November 10, 2000	GROUP 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ojs	1	4,844,088	07/04/1989	Kambin			
	2	5,171,279	12/15/1992	Mathews			
	3	5,242,443	09/07/1993	Kambin			
	4	5,357,983	10/25/1994	Mathews			
	5	5,395,317	03/07/1995	Kambin			
	6	5,480,440	01/02/1996	Kambin			
	7	5,496,322	03/05/1996	Mathews			
	8	5,545,228	08/13/1996	Kambin			
	9	5,558,674	09/24/1996	Heggeness, et al.			
	10	5,569,248	10/29/1996	Mathews			
	11	5,584,887	12/17/1996	Kambin			
	12	5,630,816	05/20/1997	Kambin			
	13	5,665,122	09/09/1997	Kambin			
	14	5,728,097	03/17/1998	Mathews			
	15	5,741,253	04/21/1998	Michelson			
	16	5,964,761	10/12/1999	Kambin			
	17	6,010,502	01/04/2000	Bagby			
	18	6,033,406	03/07/2000	Mathews			
	19	6,175,758 B1	01/16/2001	Kambin			
	20	6,206,826 B1	03/27/2001	Mathews, et al.			
	21	6,264,656 B1	07/24/2001	Michelson			
	22	6,315,795 B1	11/13/2001	Scarborough, et al.			
	23	6,379,334 B1	04/30/2002	Frassica			
	24	6,387,130 B1	05/14/2002	Stone, et al.			

EXAMINER <i>D. Jacobs Davis</i>	DATE CONSIDERED <i>4/9/03</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Andrew H. Cragg, MD, et al.	
		FILING DATE November 10, 2000	GROUP 3731



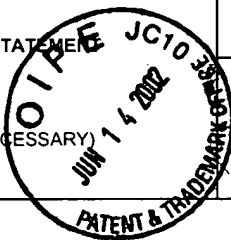
FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
yjd	25	EP 0 611 116 B1	04/02/1994	Europe				
	26	WO 95/22285	08/24/1995	PCT				
	27	WO 97/40878	11/06/1997	PCT				
	28	WO 98/38918	09/11/1998	PCT				
yjd	29	WO 02/13732 A2	02/21/2002	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
yjd	30	Hallett H. Mathews, M.D., "Minimally Invasive Fusion Techniques, Percutaneous Interbody Fusions," <u>Orthopedic Clinics of North America</u> , October 1998, Volume 29, Number 4.
	31	Parviz Kambin, M.D., et al., "Arthroscopic Microdiscectomy: An Alternative to Open Disc Surgery," <u>The Mount Sinai Journal of Medicine</u> , September 2000, Vol. 67, No. 4.
	32	Hallett H. Mathews, M.D., et al., "Perspectives on Modern Orthopaedics, Minimally Invasive Techniques for the Treatment of Intervertebral Disk Herniation," <u>Journal of the American Academy of Orthopaedic Surgeons</u> , March/April 2002, Vol. 10, No. 2.
	33	Parviz Kambin, M.D., "Percutaneous Spine Techniques, Diagnostic and Therapeutic Spinal Arthroscopy," <u>Neurosurgery Clinics of North America</u> , January 1996, Volume 7, Number 1.
	34	Parviz Kambin, M.D., et al., "Arthroscopic Discectomy of the Lumbar Spine," <u>Clinical Orthopaedics and Related Research</u> , April 1997, Number 337.

H:\DOCS\JLP\JLP-1238.DOC:cxh  
053002

EXAMINER <i>Jacob Davis</i>	DATE CONSIDERED 4/9/03
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMDA004A	APPLICATION NO. 09/709,105
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Cragg et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE November 10, 2000	GROUP 3731



RECEIVED  
JUN 19 2002  
TECHNOLOGY CENTER R3700

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
0/0	1	6,395,007 B1	5/28/02	Bhatnager et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
0/0	2	WO 02/34120 A2	5/2/02	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

H:\DOCS\WLP\WLP-1276.DOC  
061002

EXAMINER	0 Jacob Davis	DATE CONSIDERED	4/9/03
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Andrew H. Cragg, MD Jonathan Kagan	
		FILING DATE November 10, 2000	GROUP 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
MA DJA	4,554,914	11/26/85	Kapp et al.				
	6,402,750 B1	06/11/02	Atkinson et al.				

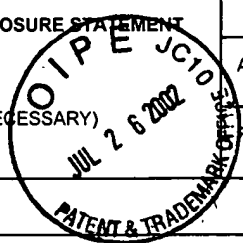
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

H:\DOCS\JLPJLP-1327.DOC:lmI  
070502

EXAMINER <i>D. Jacob Davis</i>	DATE CONSIDERED <i>4/9/03</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.004A	APPLICATION NO. 09/709,105
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT(S) Andrew H. Cragg, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE November 10, 2000	GROUP 3731



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
lyd	1.	6,371,990 B1	04/16/02	Ferree			

RECEIVED  
JUL 30 2002  
TECHNOLOGY CENTER R3700

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
lyd	2.	WO 00/67650	11/16/00	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
lyd	3.	U.S. Patent Application Publication No. 2002/0082598 A1, <i>Percutaneous Vertebral Fusion System</i> , published June 27, 2002	
lyd	4.	U.S. Patent Application Publication No. 2002/0068939 A1, <i>Expandable Orthopedic Device</i> , published June 6, 2002	

H:\DOCS\JLP\JLP-1362.DOC:sg  
072202

EXAMINER <i>D. Jacob Davis</i>	DATE CONSIDERED <i>4/9/03</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.004AAPPLICATION NO.  
09/709,105SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANTS  
Cragg, et al.FILING DATE  
November 10, 2000GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>ajd</i>	5,571,190	11/05/1996	Ulrich, et al.			
	5,669,909	09/23/1997	Zdeblick, et al.			
	5,906,616	05/25/1999	Pavlov, et al.			
	6,030,162	02/29/2000	Huebner			
	6,053,916	04/25/2000	Moore			
	6,210,412 B1	04/03/2001	Michelson			
<i>ajd</i>	2002/0038123 A1	03/28/2002	Visotsky, et al.			

RECEIVED  
AUG 21 2002  
TECHNOLOGY CENTER #3700

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER  
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


H:\DOCS\JLPJLP-1395.DOC:sam  
081602

EXAMINER

*W Jacob Davis*

DATE CONSIDERED

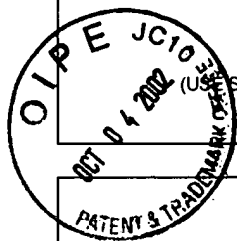
*4/9/03*

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.004AAPPLICATION NO.  
09/709,105SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Cragg, et al.FILING DATE  
November 10, 2000GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>dyd</i>	1	6,447,514 B1	09/10/02	Stalcup, et al.			
<i>dyd</i>	2	6,447,518 B1	09/10/02	Krause, et al.			
<i>dyd</i>	3	2002/0022888 A1	02/21/02	Serhan, et al.			
<i>dyd</i>	4	2002/0107573 A1	08/08/02	Steinberg			

RECEIVED

OCT 08 2002

TECHNOLOGY CENTER R3700

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
<i>dyd</i>	5	WO 02/058599 A2	08/01/02	WIPO			

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>dyd</i>	6	JOHN L. EMMETT, M.D., M.S. (UROLOGY), DAVID M. WITTEN, M.D., M.S. (RADIOLOGY) -- VOLUME 1, THIRD EDITION -- CLINICAL UROGRAPHY - AN ATLAS AND TEXTBOOK OF ROENTGENOLOGIC DIAGNOSIS -- 1971 -- PHNEUMOGRAPHY (RETROPERITONEAL GAS [AIR] INSUFFLATION; PERIRENAL INSUFFLATION; PRESACRAL INSUFFLATION)
	7	
	8	
	9	
	10	
	11	
	12	
	13	
	14	
	15	
	16	
	17	
	18	
	19	

H:\DOCS\JLP\JLP-1440.DOC\lb:lm  
100102

EXAMINER

*Jacob Davis*

DATE CONSIDERED

4/10/03

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.